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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

P#14

Application of: Kwoh et al.
Serial No.: 09/523,033
Filed: March 10, 2000
Entitled: Method for Increasing HDL Cholesterol Level

Examiner: I. Fields

Art Unit: 1645

Continuation of U.S. Appln. Ser. No. 08/482,454
Attorney Docket No.: TCS-428.0 US-1

Commissioner for Patents
Washington, D.C. 20231

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TRANSMITTAL LETTER

Sir:

Transmitted herewith are: [X] a Supplemental Information Disclosure Statement (IDS) under 37 CFR §§ 1.56, 1.97 and 1.98; [X] Form PTO-1449 Information Disclosure Statement; [X] photocopies of the disclosed documents, to be filed in the above-identified patent application; and [X] a return receipt post card.

PAYMENT OF FEES

- [] A check in the amount of \$_____ (check no. _____) in payment of the fee for submission of an information disclosure statement transmitted herewith.
- [X] The Commissioner is hereby authorized to charge payment of any additional fees required under 37 CFR §§ 1.16 or 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 50-0268. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,

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CERTIFICATE OF MAILING

The undersigned hereby certifies that this correspondence is being deposited with the U.S. Postal Service as Express mail, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231, on the date indicated below.

February 11, 2003
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Melanie A. McFadden
Melanie A. McFadden



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**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97 AND 1.98**

Dear Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants submit this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98 in the above-identified application for consideration by the Patent Office.

A modified Form PTO-1449 listing the cited documents is also enclosed, as well as, for the Examiner's convenience, copies of the documents. As this Statement is being submitted before the first Office Action on the merits, pursuant to 37 C.F.R. § 1.97(b)(3), no fee is believed to be required with this submission.

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Zegers et al., *Eur. J. Immunol.*, 23: 630-634 (1993).

Applicants do not intend to represent that any of the documents submitted herein is material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicants respectfully request that the documents submitted herein be considered and made of record in this application.

Respectfully submitted,



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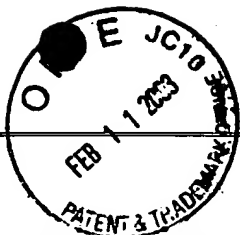
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Melanie A. McFadden
Melanie A. McFadden



Modified FORM PTO-1449		ATTY. DOCKET NO. TCS-428.0 US-1	SERIAL NO. 09/523,033
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		APPLICANT Kwoh et al.	
(Use several sheets if necessary)		FILING DATE March 10, 2000	GROUP 1645

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	US 5,242,687	10/07/93	Tykocinski et al.			
	AB	US 5,338,829	08/16/94	Weiner et al.			
	AC	US 5,705,388	01/06/98	Couture et al.			
	AD	US 5,843,446	12/01/98	Ladd et al.			
	AE	US 5,994,310	11/30/99	Buettner et al.			
	AF	US 6,143,305	11/07/00	Stevens			
	AG	US 6,284,533	09/04/01	Thomas			
	AH	US 6,410,022	06/25/02	Rittershaus			

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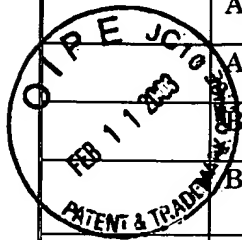
FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	TRANSLATION YES	NO
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	AJ	EP 0752886 B1	01/28/98	Mouritsen et al.				
	AK	WO 90/15627	12/27/90	Arlinghaus et al.				
	AL	WO 92/10203	06/25/92	Swartz et al.				
	AM	WO 93/23076	11/25/93	Cheronis et al.				
	AN	WO 94/24567	10/27/94	Brocia et al.				
	AO	WO 94/25060	11/10/94	Ladd et al.				
	AP	WO 95/05849	03/02/95	Mouritsen et al				
	AQ	WO 96/39168	12/12/96	Kwoh et al.				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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	AS	Alberts et al., <i>Molecular Biology of the Cell</i> , 2 nd ed., (Garland Publishing, Inc., New York, NY., 1989) pp.1006-1007
	AT	Alexander et al., <i>Immunity</i> , 1: 751-761 (1994)
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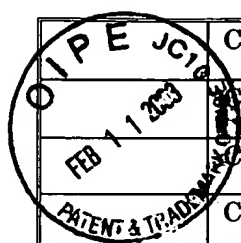
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AZ	Breslow et al., <i>Proc. Natl. Acad. Sci. USA</i> , 90: 8314-8318 (1993)
BA	Brown et al., <i>Nature</i> , 342: 448-451 (1989)
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BD	Casali et al., <i>Science</i> , 234: 476-479 (1986)
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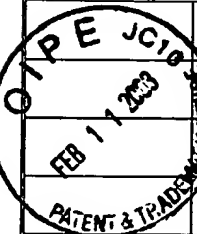
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	DQ	Wang et al., <i>J. Biol. Chem.</i> , 268: 1955-1959 (1993)
	DR	Wang et al., <i>J. Biol. Chem.</i> , 270: 612-618 (1995)
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	DZ	Zegers et al., <i>Eur. J. Immunol.</i> , 23: 630-634 (1993)
EXAMINER		DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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